

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q97213

Masashi OHTSUKI, et al.

Appln. No.: 10/599,150

Group Art Unit: 1795

Confirmation No.: 5019

Examiner: Angela J. Martin

Filed: September 21, 2006

For: ADDITIVE FOR NON-AQUEOUS ELECTROLYTE IN BATTERY, NON-AQUEOUS  
ELECTROLYTE FOR BATTERY AND NON-AQUEOUS ELECTROLYTE BATTERY

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

WO 03/041197 and JP 2003-77532 which are referred to on the attached European Search Report are not listed on the attached form PTO/SB/08 A&B as they were listed in the Information Disclosure Statement filed September 21, 2006. A copy of JP 2003-77532 is being submitted herewith for the Examiner's convenience.

One copy of each of the listed documents is submitted herewith, except for the following:  
U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications  
filed after June 30, 2003.

The present Information Disclosure Statement is being filed after the later of three  
months from the application's filing date and the mailing date of the first Office Action on the  
merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes  
prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently  
herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

The present Information Disclosure Statement is being filed thirty days or fewer from the  
communication from a foreign patent office and a Statement Under 37 C.F.R. § 1.704(d) is attached.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for  
foreign language documents, Applicant encloses herewith a copy of a Communication from a  
European Patent Office in a counterpart application citing such documents, indicating the degree of  
relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such  
document constitutes prior art against the claims of the present application. Applicant does not  
waive any right to take any action that would be appropriate to antedate or otherwise remove any  
listed document as a competent reference against the claims of the present application.

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 10/599,150

Attorney Docket No.: Q97213

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: February 1, 2008